

Announcement

Amendment to investment guideline of SCB SMART PLAN 3 OPEN END FUND (SCBSMART3) SCB SMART PLAN 4 OPEN END FUND (SCBSMART4) and SCB Short Term Fixed Income Plus Fund (SCBSFFPLUS)

This is to notify that the Securities and Exchange Commission has approved the amendment to the Investment guideline on 24 May 2019 regarding the division of investment units share classes to provide an alternative to unitholders, to be effective on 7 June 2019, details are as follows:

Subject	Amendment																																																																			
Fund abbreviation <u>Except</u> SCBSFFPLUS	Current		New																																																																	
	SCBSMART3	SCBSMART3FUND																																																																		
	SCBSMART4	SCBSMART4FUND																																																																		
Division of investment units Share classes	<p>Categories of investment units share classes :</p> <ul style="list-style-type: none"> - Fees or expenses charged to unitholders - Right to receive dividend <p>The Fund is divided into 5 share classes of investment units:</p> <ol style="list-style-type: none"> 1. Accumulative Class (SCBSMART3 and SCBSMART4) Suitable for investors seeking to receive return through the increase of the total value of investment units (Total return) 2. Auto-redemption Class (SCBSMART3 and SCBSMART4) Suitable for investors seeking to receive periodic cash flows via automatic redemption of investments units 3. Dividend Class (SCBSMART3 and SCBSMART4) Suitable for investors seeking to receive periodic cash flows via dividend payments 4. Individual/Group Class (SCBSMART3 and SCBSMART4) Suitable for individual, group of individuals, juristic person or institution seeking capital gains. This share class will initially be limited to unitholders who are SCBAM's private fund and/or provident fund clients until further notice. 5. E-channel Class (All Funds) Suitable for investors who do the transaction through electronic channel for example scb easy, scbam fund click and other electronic channel that the Management Company will further determine. <p>(The Management Company reserves the right to refuse subscription order and / or switching in orders. If the outstanding investment units and the net subscription and the net switching in of each the unitholders accounts and / or unitholders of E - Channel class, total amount combined of all funds under management of the Management Company exceeds 1 million baht.)</p>																																																																			
Minimum amount for subscription, redemption and account balance	<p>SCBSMART3 and SCBSMART4</p> <table border="1" style="width: 100%;"> <thead> <tr> <th></th> <th>Accumulative Class Auto-redemption Class and Dividend Class</th> <th>Individual/Group Class and E-channel Class</th> </tr> </thead> <tbody> <tr> <td>Minimum initial subscription</td> <td>1,000 baht</td> <td>1 baht</td> </tr> <tr> <td>Minimum subsequent subscription</td> <td>1,000 baht</td> <td>1 baht</td> </tr> <tr> <td>Minimum redemption amount</td> <td>1,000 baht</td> <td>1 baht</td> </tr> <tr> <td>Minimum redemption investment units</td> <td>No limitation</td> <td>No limitation</td> </tr> <tr> <td>Minimum account balance</td> <td>1,000 baht</td> <td>1 baht</td> </tr> <tr> <td>Minimum account balance investment units</td> <td>No limitation</td> <td>No limitation</td> </tr> </tbody> </table> <p>SCBSFFPLUS</p> <table border="1" style="width: 100%;"> <thead> <tr> <th></th> <th>A Class</th> <th>B Class</th> <th>I Class</th> <th>P Class</th> <th>E Class</th> </tr> </thead> <tbody> <tr> <td>Minimum initial subscription</td> <td>10,000,000 baht</td> <td>1,000 baht</td> <td>1 baht</td> <td>1 baht</td> <td>1 baht</td> </tr> <tr> <td>Minimum subsequent subscription</td> <td>1,000 baht</td> <td>1,000 baht</td> <td>1 baht</td> <td>1 baht</td> <td>1 baht</td> </tr> <tr> <td>Minimum redemption amount</td> <td>1,000 baht</td> <td>1,000 baht</td> <td>1 baht</td> <td>1 baht</td> <td>1 baht</td> </tr> <tr> <td>Minimum redemption investment units</td> <td>No limitation</td> <td>No limitation</td> <td>No limitation</td> <td>No limitation</td> <td>No limitation</td> </tr> <tr> <td>Minimum account balance</td> <td>No limitation</td> <td>No limitation</td> <td>No limitation</td> <td>No limitation</td> <td>1 baht</td> </tr> <tr> <td>Minimum account balance investment units</td> <td>No limitation</td> <td>No limitation</td> <td>No limitation</td> <td>No limitation</td> <td>No limitation</td> </tr> </tbody> </table>						Accumulative Class Auto-redemption Class and Dividend Class	Individual/Group Class and E-channel Class	Minimum initial subscription	1,000 baht	1 baht	Minimum subsequent subscription	1,000 baht	1 baht	Minimum redemption amount	1,000 baht	1 baht	Minimum redemption investment units	No limitation	No limitation	Minimum account balance	1,000 baht	1 baht	Minimum account balance investment units	No limitation	No limitation		A Class	B Class	I Class	P Class	E Class	Minimum initial subscription	10,000,000 baht	1,000 baht	1 baht	1 baht	1 baht	Minimum subsequent subscription	1,000 baht	1,000 baht	1 baht	1 baht	1 baht	Minimum redemption amount	1,000 baht	1,000 baht	1 baht	1 baht	1 baht	Minimum redemption investment units	No limitation	No limitation	No limitation	No limitation	No limitation	Minimum account balance	No limitation	No limitation	No limitation	No limitation	1 baht	Minimum account balance investment units	No limitation	No limitation	No limitation	No limitation	No limitation
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Redemption of investment units	<p>The redemption method is divided into 2 types :</p> <ul style="list-style-type: none"> - At unitholder's discretion: unitholders of all share classes can place a redemption order. - Automatic redemption: automatic redemption will be done for unitholders in auto-redemption share class only. The Management Company will automatically redeem the investment units to the unitholders in accordance with the Fund's condition. The redemption amount and redemption payment will be at the discretion of the Management Company. The Management Company will automatically redeem investment units based on returns on investment and / or from the principal. 																																																																			

บริษัทหลักทรัพย์จัดการกองทุน ไทยพาณิชย์ จำกัด (สำนักงานใหญ่)

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Including amendment of other sections in the investment guideline related to division of investment units such as Definitions, subsequent offering, redemption of units, switching of units, dividend payment, fees and expenses charged to the Fund and the purchaser or unitholders, calculation method, calculation period, AUM, NAV and funds' price announcement, rules and procedures when the NAV is incorrect. Procedure of seeking resolution from unitholders and Procedure to amend the investment guideline or management procedure.

Kindly be informed that the above changes do not affect your rights as the Fund's unitholders in any way. For more information, please do not hesitate to contact our customer relation at 02-777-7777, press 0 and then 6 during 08.30 to 17.00 or visit our website at www.scbam.com.

Announced on 28 May 2019
SCB Asset Management Company Limited