



# ARKQ

## ARK AUTONOMOUS TECHNOLOGY & ROBOTICS ETF



# ARK INVEST

ETFs

### WHY INVEST IN ARKQ?



**Exposure to Innovation:** Aims for thematic multi-cap exposure to innovation elements including robotics, autonomous vehicles, energy storage, 3D printing, and space exploration.



**Growth Potential:** Aims to capture long-term growth with low correlation of relative returns to traditional growth strategies and negative correlation to value strategies.



**Tool for Diversification:** Offers a tool for diversification due to little overlap with traditional indices. It can be a complement to traditional value/growth strategies.



**Grounded in Research:** Combines top-down and bottom-up research in its portfolio management to identify innovative companies and convergence across markets.



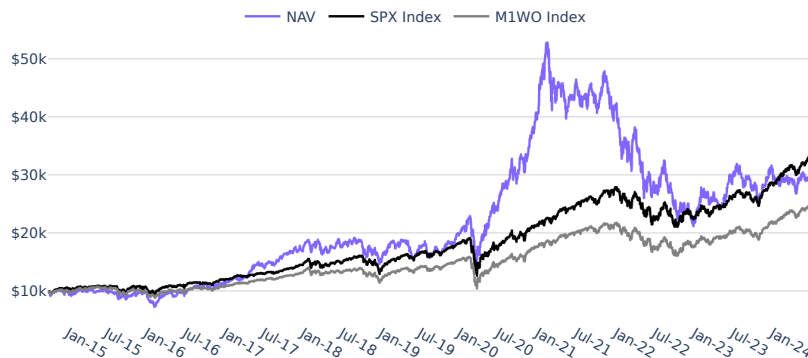
**Cost Effective:** Provides a lower cost alternative to mutual funds with true active management in an Exchange Traded Fund (ETF) that invests in rapidly moving themes.

### FUND DETAILS

As of June 30, 2024

Ticker	ARKQ
Type	Active Equity ETF
CUSIP	00214Q203
ISIN	US00214Q2030
Primary Exchange	Cboe BZX
Inception Date	2014-09-30
Expense Ratio	0.75%
Fund AUM	\$799.5 Million
Advisor	ARK Investment Management LLC
Fund Distributor	Foreside Fund Services, LLC
Number of Holdings	30-50
Weighted Avg. Market Cap.	255 Billion
Median Market Cap.	18 Billion
Active Share (S&P500)	92%

### GROWTH OF 10,000 USD SINCE INCEPTION



The line graph represents the cumulative performance of a hypothetical \$10,000 investment. The returns are net of the ETF's expenses but do not reflect the payment of any brokerage commissions or brokerage costs incurred as a result of buying or selling fund shares and do not reflect the deduction of taxes to which an investor would be subject as a result of owning or selling shares of the fund. If they did, the returns would be lower than those shown.

### FUND PERFORMANCE

For periods ended June 30, 2024

	1 Month	3 Months	YTD	1 Year	3 Years	5 Years	Since Inception
ARKQ   NAV	1.15%	0.83%	-4.53%	-2.10%	-13.65%	10.66%	11.76%
ARKQ   Market Price	0.99%	0.69%	-4.52%	-2.25%	-13.64%	10.66%	11.75%
S&P 500 Index [SPX]	3.59%	4.28%	15.29%	24.56%	10.01%	15.05%	13.02%
MSCI World Index [M1WO]	2.03%	2.63%	11.75%	20.19%	6.86%	11.78%	9.62%

**Past performance does not guarantee future results. The performance data quoted represents past performance and current returns may be lower or higher. The investment return and principal will fluctuate so that an investor's shares when redeemed may be worth more or less than the original cost.** For the Fund's most recent month end performance, please visit [www.ark-funds.com](http://www.ark-funds.com) or call 1-800-679-7759. Returns for less than one year are not annualized. Net asset value ("NAV") returns are based on the dollar value of a single share of the ETF, calculated using the value of the underlying assets of the ETF minus its liabilities, divided by the number of shares outstanding. The NAV is typically calculated at 4:00 pm Eastern time on each business day the Cboe BZX Exchange is open for trading. Market returns are based on the trade price at which shares are bought and sold on the Cboe BZX Exchange, Inc. using the last share trade. Market performance does not represent the returns you would receive if you traded shares at other times. Total Return reflects reinvestment of distributions on ex-date for NAV returns and payment date for Market Price returns. The market price of the ETF's shares may differ significantly from their NAV during periods of market volatility.

### FUND OBJECTIVE

ARKQ is an actively managed ETF that seeks long-term growth of capital by investing under normal circumstances primarily (at least 80% of its assets) in domestic and foreign equity securities of autonomous technology and robotics companies that are relevant to the Fund's investment theme. Autonomous technology and robotics companies are companies that the Adviser believes are expected to focus on, among other things, disruptive innovation in automation and manufacturing, transportation, energy, artificial intelligence, and materials.

### TOP 10 HOLDINGS

As of June 30, 2024

	SECURITY NAME	WEIGHT
1	Tesla Inc	12.3%
2	Teradyne Inc	11.0%
3	Kratos Defense & Security Solutions Inc	9.0%
4	Trimble Inc	6.1%
5	Iridium Communications Inc	6.0%
6	AeroVironment Inc	5.1%
7	UiPath Inc	4.4%
8	Komatsu Ltd	3.8%
9	Deere & Co	3.3%
10	Taiwan Semiconductor Manufacturing Co Ltd	3.2%
		<b>64.2%</b>

**Holdings are subject to change.** For current portfolio holdings please download "Fund Holdings" as a CSV or PDF at [ark-funds.com](http://ark-funds.com). Portfolio holdings should not be considered as investment advice or a recommendation to buy, sell or hold any particular security. The securities identified do not represent all of the securities purchased, sold or recommended for client accounts. It should not be assumed that an investment in the securities identified was or will be profitable.

**TECHNOLOGY BREAKDOWN**

Autonomous Mobility	44.9%
Intelligent Devices	11.1%
Advanced Battery Technologies	11.0%
Adaptive Robotics	10.7%
Neural Networks	8.9%
Reusable Rockets	4.9%
Next Gen Cloud	4.3%
3D Printing	3.4%
Cryptocurrencies	0.6%
Digital Wallets	0.2%

**MARKET CAPITALIZATION**

Mega (\$100B+)	31.1%
Large (\$10 - \$100B)	30.5%
Medium (\$2 - \$10B)	31.1%
Small (\$300M - \$2B)	3.4%
Micro (\$50 - \$300M)	3.2%

**SECTOR BREAKDOWN**

Information Technology	38.1%
Industrials	34.8%
Consumer Discretionary	15.0%
Communication Services	8.2%
Health Care	1.8%
Energy	1.4%

**GEOGRAPHIC BREAKDOWN**

North America	89.7%
Asia Pacific	8.4%
Africa / Middle East	1.4%
Western Europe	0.5%

**Holdings are subject to change.** The Technology Breakdown is based on ARK's internal thematic research elements and portfolio company classification. Geographic Breakdown relates principally to the domicile of the issuers of the securities held in the ETF. Sector Breakdown is based on the Global Industry Classification Standard (GICS).

**Before investing you should carefully consider the Fund's investment objectives, risks, charges and expenses. This and other information is in the prospectus, a copy of which may be obtained by visiting [www.ark-funds.com](http://www.ark-funds.com). Please read the prospectus carefully before you invest.**

The principal risks of investing in ARKQ: **Equity Securities Risk.** The value of the equity securities the Fund holds may fall due to general market and economic conditions. **Foreign Securities Risk.** Investments in the securities of foreign issuers involve risks beyond those associated with investments in U.S. securities. **Industrials Sector Risk.** Companies in the industrials sector may be adversely affected by changes in government regulation, world events, economic conditions, environmental damages, product liability claims and exchange rates. **Consumer Discretionary Risk.** Companies in this sector may be adversely impacted by changes in domestic/international economies, exchange/interest rates, social trends and consumer preferences. **Information Technology Sector Risk.** Information technology companies face intense competition, both domestically and internationally, which may have an adverse effect on profit margins. Detailed information regarding the specific risks of ARKQ ETF can be found in the prospectus. Additional risks of investing in ARKQ include equity, market, management and non-diversification risks, as well as fluctuations in market value and NAV. An investment in an ETF is subject to risks and you can lose money on your investment in an ETF. There can be no assurance that the ETF will achieve its investment objective. The ETF's portfolio is more volatile than broad market averages. Shares of ARKQ are bought and sold at market price (not NAV) and are not individually redeemed from the ETF. ETF shares may only be redeemed directly with the ETF at NAV by Authorized Participants, in very large creation units. There can be no guarantee that an active trading market for ETF shares will develop or be maintained, or that their listing will continue or remain unchanged. Buying or selling ETF shares on an exchange may require the payment of brokerage commissions and frequent trading may incur brokerage costs that detract significantly from investment returns.

**Index Descriptions: The S&P 500® Index** is a widely recognized capitalization-weighted index that measures the performance of the large-capitalization sector of the U.S. stock market. **The MSCI World Index** represents large and mid-cap equity performance across 23 developed markets countries. Returns shown for the MSCI World Index are net of foreign withholding taxes applicable to U.S. investors. Securities in the ETF's portfolio will not match those in any index. The ETF is benchmark agnostic and corresponding portfolios may have significant noncorrelation to any index. Index returns are generally provided as an overall market indicator. You cannot invest directly in an index. Although reinvestment of dividend and interest payments is assumed, no expenses are netted against an index's returns. Index performance information was furnished by sources deemed reliable and is believed to be accurate, however, no warranty or representation is made as to the accuracy thereof and the information is subject to correction.

**Glossary: Active Share** is a measure of the percentage of stock holdings in a manager's portfolio that differ from the benchmark index. **Value Strategy:** Traditional value investing is an investment strategy where stocks are selected that trade for less than their intrinsic values. **Growth Strategy:** Traditional growth investing is an investment strategy that focuses on stocks, whose earnings are expected to grow at an above-average rate compared to its industry or the overall market. **Correlation:** The degree to which two strategies move in relation to each other.

Foreside Fund Services LLC, distributor.

NOT FDIC INSURED - NO BANK GUARANTEE - MAY LOSE VALUE

**ROBO** is the first robotics and automation ETF to market, providing investors access to rapidly evolving robotics, automation and artificial intelligence (AI) companies. These companies produce enabling technologies and applications that have the potential to be a driving force of change and propel global economic growth and productivity.

## WHY ROBO?

- INDUSTRY EXPERTS**<sup>1</sup> specializing in robotics, artificial intelligence (AI), and healthcare technologies whose mission is to define and identify the universe of robotics and automation for investors.
- GLOBAL EXPOSURE** to the value chain of robotics, automation, and AI companies, across countries in developed and emerging markets.
- MULTI-CAP** fund providing diversified access to large-, mid- and small-cap companies with minimal overlap with most broad market indices.

## KEY FACTS

Fund Launch Date	October 21, 2013
AUM (\$ million)	\$1,200.76
Benchmark Index	ROBO Global Robotics and Automation Index
Number of Equity Holdings	76
Expense Ratio	0.95%
Rebalance Frequency	Quarterly
Ticker	ROBO
CUSIP	301505707
Exchange	NYSE Arca

## PERFORMANCE

	ANNUALIZED						
	QTD	YTD	1 YEAR	3 YEAR	5 YEAR	10 YEAR	SINCE INCEPTION
ROBO - NAV	-5.90%	-4.09%	-5.45%	-5.36%	6.82%	7.30%	7.87%
ROBO - Market Price	-6.04%	-3.84%	-5.86%	-5.39%	6.84%	7.26%	7.86%
ROBO - Benchmark Index	-5.64%	-3.73%	-4.75%	-4.63%	7.63%	8.19%	8.90%

The performance data quoted is as of Jun 30, 2024 and represents past performance and does not guarantee future results. Investment return and principal value of an investment will fluctuate so that an investor's shares, when sold or redeemed, may be worth more or less than their original costs. Current performance may be higher or lower than the performance quoted. For performance data current to the most recent month end, please call 1-855-456-7626 or visit [www.roboglobletfs.com](http://www.roboglobletfs.com).

## GROWTH OF \$10,000 SINCE INCEPTION



The chart reflects a hypothetical \$10,000 investment and assumes reinvestment of dividends and capital gains. Fund expenses and fees were deducted.

Beginning September 1, 2020, market price returns are based on the official closing price of an ETF share or, if the official closing price isn't available, the midpoint between the national best bid and national best offer ("NBBO") as of the time the ETF calculates current NAV per share. Prior to September 1, 2020, market price returns were based on the midpoint between the bid and ask price. NAVs are calculated using prices as of 4:00 PM Eastern Time. The returns shown do not represent the returns you would receive if you traded shares at other times.

## ROBO GLOBAL<sup>®</sup> INDUSTRY CLASSIFICATION

Application	60%
Manufacturing & Industrial Automation	20%
Logistics Automation	13%
Healthcare	13%
Business Process Automation	6%
Food & Agriculture	6%
3D Printing	2%
Autonomous Systems	1%
Technologies	40%
Actuation	13%
Computing & AI	12%
Sensing	8%
Integration	7%

## TOP HOLDINGS

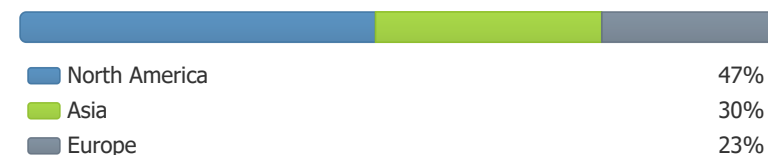
INTUITIVE SURGICAL INC	1.93%
HARMONIC DRIVE	1.89%
ROCKWELL AUTOMATION INC	1.86%
SERVICENOW INC	1.86%
NOVANTA INC	1.84%
FANUC CORP	1.80%
ZEBRA TECH CORP	1.75%
TERADYNE INC	1.73%
IPG PHOTONICS CORP	1.62%
HEXAGON AB-B SHS	1.60%
<b>TOTAL</b>	<b>17.88%</b>

*Subject to change. Current and future holdings subject to risk.*

## MARKET CAPITALIZATION BREAKDOWN



## GEOGRAPHIC BREAKDOWN



## GLOSSARY

**Industry Experts** <sup>(1)</sup> Through expertise of its leadership team and strategic advisors, including 4 PhDs in the fields of engineering and robotics, ROBO Global<sup>®</sup> searches worldwide to find cutting-edge companies deploying robotic, automation, artificial intelligence, and healthcare technology solutions to industries and people. By defining the ecosystem, they are able to track the global growth of the industry.

## RISK DISCLOSURE AND IMPORTANT INFORMATION

**Carefully consider the Fund's investment objectives, risk factors, charges and expenses before investing. This and additional information can be found on the Fund's full or summary prospectus, which may be obtained at [www.roboglobletfs.com](http://www.roboglobletfs.com). Read the prospectus carefully before investing.**

Exchange Traded Concepts, LLC serves as the investment advisor to the Fund. The Funds are distributed by SEI Investments Distribution Co. (SIDCO), which is not affiliated with Exchange Traded Concepts, LLC or any of its affiliates.

Investing involves risk, including the possible loss of principal. International investments may also involve risk from unfavorable fluctuations in currency values, differences in generally accepted accounting principles, and from economic or political instability. Emerging markets involve heightened risks related to the same factors as well as increased volatility and lower trading volume. Narrowly focused investments and investments in smaller companies typically exhibit higher volatility. There is no guarantee the fund will achieve its stated objective.

These risks associated with investments in Robotics and Automation Companies include, but are not limited to, small or limited markets for such securities, changes in business cycles, world economic growth, technological progress, rapid obsolescence, and government regulation. Robotics and Automation Companies, especially smaller, start-up companies, tend to be more volatile than securities of companies that do not rely heavily on technology. Rapid change to technologies that affect a company's products could have a material adverse effect on such company's operating results. Robotics and Automation Companies may rely on a combination of patents, copyrights, trademarks and trade secret laws to establish and protect their proprietary rights in their products and technologies. There can be no assurance that the steps taken by these companies to protect their proprietary rights will be adequate to prevent the misappropriation of their technology or that competitors will not independently develop technologies that are substantially equivalent or superior to such companies' technology.